GOVERNMENT OF GOA, DAMAN AND DIU

Labour and Information Department

Notification

LC/48/SE/68/75/59

In exercise of the powers conferred by section 59 of the Goa, Daman and Diu Shops and Establishments Act, 1973 (13 of 1974), the Administrator of Goa, Daman and Diu after complying with requirements of pre-publication hereby makes the following Rules, namely:

1. Short title. — These rules may be called the Goa, Daman and Diu Shops and Establishments Rules, 1975.

2. Definitions. — In these rules, unless the context otherwise requires —

(a) “Act” means the Goa, Daman and Diu Shops and Establishments Act, 1973 (13 of 1974);

(b) “Commissioner” means Labour Commissioner or Commissioner, Labour and Employment, Government of Goa, Daman and Diu;

(c) “form” means a form appended to these rules;

(d) “section” means section of the Act;

(e) “schedule” means a schedule appended to these rules;

(f) words and expressions used but not defined in these rules shall have the meanings assigned to them in the Act.

Registration of Establishments

3. Form of submitting statement, challan and other particulars under section 3. — The employer of every establishment shall, within the period specified in sub-section (1) of section 3, send to the Inspector of the area a statement in form I together with challan after remitting fee as prescribed in schedule A.

4. Payment of fees. — The fees prescribed under these rules shall be remitted into the Government treasury/State Bank of India under the head of account «087 Labour and Employment-fees realised under the Goa, Daman and Diu Shops and Establishments Act, 1973». The fees once remitted shall under no circumstances be refunded.

5. Manner of registering the establishments and form of registration certificate. — The Inspector of the area concerned shall, on receipt of a statement in form I under sub-section (1) of section 3 together with the fee prescribed in schedule A, verify the correctness of the particulars and register the shop or establishment, as the case may be, in the register of establishments prescribed in form II and issue a certificate of registration in form III.

6. Renewal of registration certificate. — A registration certificate shall be renewed every year by making an application to the Inspector in form IV together with the challan paying registration fees as prescribed in schedule A within thirty days of the expiry of the validity of the registration certificate.

7. Issue of duplicate registration certificate. — If a registration certificate issued under rule 5 is lost, destroyed or defaced, the employer of the establishment shall forthwith report the matter to the Inspector of the area and shall apply in form V with a fee of one rupee for the issue of a duplicate registration certificate. Upon the receipt of such application together with the fees, the Inspector shall furnish to the employer with a duplicate copy of the registration certificate duly stamped "duplicate".

8. Notice of change. — The employer shall give notice to the Inspector of the area in form VI of any change in any of the particulars in the statement in form I within 15 days after the change has taken place together with the registration certificate and
fee specified in schedule B. The Inspector shall amend the registration certificate or issue a fresh one, as the case may be, and send it to the employer.

9. Transfer of registration certificate. — (1) A registration certificate issued under these rules shall not be transferable and if ownership of any shop or establishment is transferred, the employer shall, within thirty days of such transfer, notify the fact of transfer and surrender the certificate of registration to the Inspector of the area and shall submit to the Inspector a statement signed by himself specifying the name and address of the transferee.

(2) The Inspector, on being satisfied about the correctness of the information relating to transfer, shall cancel the certificate of registration and amend the register of establishments accordingly.

(3) The transferee shall apply for new registration certificate within thirty days from the date of transfer.

Proof of Age

10. Ascertainment of age by the Inspector. — An Inspector may require an employer to produce an authentic extract from the records of any school or from the Registrar of Births, Deaths and Marriages, or in the absence of such extract, at least a certificate which shall be in form VII from a Government Medical Health Officer showing the age of an employee.

Health and Safety

11. Cleanliness and health. — The premises of every establishment shall be kept clean and healthy as required under section 20 in the following manner:

(1) (a) In every establishment all the inside walls of the rooms and all the ceilings of such rooms (whether such walls or ceilings be plastered or not) and all the passages and staircases shall be lime-washed or colour-washed at intervals not more than two years dating from the time when they were last lime-washed or colour-washed and shall be kept clean in a clean state.

(b) All beams, rafters, doors, window-frames and other wood-work with the exception of floors shall be either lime-washed or colour-washed at intervals of not more than twelve months dating from the time when they were last lime-washed or colour-washed or shall be painted or varnished at intervals of not more than five years dating from the time when they were last painted or varnished and shall be maintained in a clean state.

(c) The dates on which lime-washing, colour-washing, painting or varnishing is carried out shall be duly entered in form VIII which shall be shown to the Inspector when required.

(d) Nothing in clauses (a) to (c) of this sub-rule shall apply to the following:

(i) rooms used only for the storage of articles;

(ii) walls or ceilings of rooms which are made of galvanised iron, flat tiles, asbestos sheets, glazed bricks, glass, slate, bamboo thatch, cement plaster or polished cement;

(iii) ceilings of rooms in which the lowest part is at least 20 feet from the floor;

(iv) any other establishment or part thereof in which lime-washing, colour-washing, painting or varnishing is in the opinion of the Commissioner, unnecessary to satisfy the requirements of section 20 in regard to cleanliness.

(2) Rubbish, filth or debris shall not be allowed to accumulate or to remain on any part of the establishment for more than twenty-four hours and shall be disposed off in the manner approved by the Inspector. All filth and other decomposing matter shall be kept in covered receptacles.

(3) All drains carrying waste or sullage water or sewage shall be constructed of masonry or other impermeable material and shall be regularly flushed at least twice daily and where possible, connected with some recognised drainage line.

(4) The establishment and the compound surrounding it shall be maintained in a strictly sanitary and clean condition. The floors shall be swept or otherwise cleaned at least once daily, and the ceilings shall be dusted at least once a month.

(5) The employer shall enforce the proper use of latrines and urinals and prevent pollution by excreta or urine on the surface of the ground in the vicinity of the latrine or the urinal and in the compound of the establishment. The employer shall make suitable arrangements for the regular cleaning and conserving of the latrines and urinals to the satisfaction of the Inspector.

(6) The employer shall make suitable arrangements to supply cool and wholesome drinking water to employees in the establishment. The area around the place where drinking water is distributed to the employees shall be kept clean and properly drained.

(7) (i) The employer of every ‘restaurant’ or ‘eating house’ or ‘residential hotel’ shall keep his premises clean and comply with the standards of sanitation prescribed by the Health Authorities. The disposal of effluents/garbage/waste shall be done in such a manner that no pollution is caused.

(ii) The kitchen, washing places and other areas shall be kept clean and disinfected by regular scrubbing of floors and cleaning/spraying with approved insecticides.

(iii) The employer of every ‘restaurant’ or ‘eating house’ or ‘residential hotel’ shall provide two sets of uniforms per year to each of their employees. He shall arrange for regular washing of the uniforms once in a week or grant washing allowance as may be settled by an agreement or settlement between the employer and employees.

(iv) Every employee of a ‘restaurant’ or ‘eating house’ or ‘residential hotel’ shall be medically examined once in every six months and certified fit to work in such establishment by a Certifying Surgeon, if any appointed under the Factories Act, 1948 (Central Act 63 of 1948) or any Medical Officer specified in this behalf by the Government. The expenses for such medical examination, if any, shall be borne by the employer and may be recovered as arrears of land revenue from the employer in case of default.

12. Precautions against fire. — (1) The employer of an establishment other than a shop shall provide,
under sub-section (1) of section 22, with adequate means of escape in case of fire and shall also provide buckets of substantial construction full of water or sand and or chemical fire extinguishers in suitable number and at suitable sites according to the nature of work carried on and the size of the premises.

(2) No person shall smoke or use a naked light or cause or permit any such light to be used in the immediate vicinity of any inflammable material in any establishment.

13. Safety. — (1) Every dangerous part of a machinery in an establishment other than a shop shall be securely fenced by safety guards of substantial construction which shall be kept in position while the parts of machinery are in motion or in use.

(2) In every establishment other than a shop where manufacturing process is carried on with the aid of electric power, suitable devices for cutting off power in emergencies from running machinery shall be provided and maintained.

(3) No employee, with loose fitting clothes on, shall be allowed or made to work near the moving machinery or belt and the tight fitting clothes for the purpose to such employee shall be provided by the employer.

14. First aid appliances. — In every establishment other than a shop a first aid box shall be maintained containing the following equipment together with a book of instructions:

(i) 3 small sterilized dressings;
(ii) 2 medium size sterilized dressings;
(iii) 2 large size sterilized dressings;
(iv) 2 large size sterilized burn dressings;
(v) 2 (½ oz.) packets sterilized cotton-wool;
(vi) 1 pair of dressing scissors;
(vii) 1 (1 oz.) bottle containing solution for iodine or mercuric chrom;
(viii) 1 (1 oz.) bottle containing solution of sal volatile having the close and mode of administration indicated on the label;
(ix) 1 (1 oz.) bottle containing potassium permanganate crystals;
(x) any ointment/cream for burns.

Wages

15. Overtime working. — (1) An employer may require an adult employee to work overtime subject to the conditions laid down in section 11(2) for any of the following purposes:

(a) Seasonal pressure of work;
(b) Work in pursuance of any custom or usage observed in the establishment;
(c) Temporary increase in work due to absence of any other employees or any other emergency;
(d) Treating of material liable to deterioration, if not treated immediately;
(e) Work necessitated as a result of any order from Court or any Government authority;
(f) Stock-taking and preparation of accounts.

(2) Previous intimation in respect of requiring adult employees to work overtime in establishment under sub-section (3) of section 11 shall contain the following information:

(a) the purpose of overtime;
(b) date or dates and the probable time or period for which overtime is proposed to be worked;
(c) number of employees required to work overtime.

Explanation. — For the purpose of this sub-rule, previous intimation to be given to the Inspector, shall be by serving a notice at the office of the Inspector so as to reach him at least three days prior to the date of requiring employees to work overtime.

16. Manner of calculating ordinary rate of wages. — For the purpose of the explanation to section 29 ordinary rate of wages per hour shall be calculated by dividing the total wages payable to a person employed for the hours actually worked by him during the wage period by the number of such hours in the wage period:

Provided that hours worked by a person employed in excess of the normal daily hours during the wage period shall be excluded in calculating the number of hours actually worked by him.

17. Fines and deductions for damage or loss. — (1) (a) The Commissioner shall be the authority competent to approve, under sub-section (1) of section 33, the acts and omissions in respect of which fines may be imposed and to approve under sub-section (5) of section 33, the purposes to which the fines realized shall be applied.

(b) Every employer requiring the power to impose fines in respect of any acts and omissions on the part of employees shall send to the Commissioner

(i) a list, in English and in Marathi or Konkani (in Roman as well as Devanagiri scripts) in duplicate, clearly defining such acts and omissions; and
(ii) a list showing the purpose to which the fines realized shall be applied.

(c) The Commissioner may, on receipt of the list prescribed in sub-clause (i) or sub-clause (ii) of clause (b) after such inquiry as he considers necessary, pass orders either —

(i) disapproving the list; or
(ii) approving the list either in its original form or as amended by him in which case such list shall be considered to be an approved list:

Provided that no order disapproving or amending any list shall be passed unless the employer has been given an opportunity of showing cause orally or in writing against such order.

(d) The employer shall display at or near the main entrance of the establishment a copy in English and in Marathi or Konkani (both in Roman and Devanagiri scripts) of the list approved under clause (e).

(e) No fine shall be imposed by any person other than an employer.

(2) Any person desiring to impose fine on an employee or to make a deduction from his wages for damage or loss shall explain personally to the said person the act or omission, or damage or loss, in respect of which the fine or deduction is proposed to be imposed and shall hear his explanation.

The
charges in respect of which it is proposed to impose the fine or deduction and explanation of the person concerned shall be reduced to writing, the signature of such person being obtained to the latter:

Provided that the whole proceedings may be reduced in writing if the employer or the employee so desires.

(3) (a) The employer of any establishment in respect of which he has obtained approval under sub-section (1) of section 33 to a list of acts and omissions in respect of which fines may be imposed, shall maintain a register of fines in form IX.

(b) At the beginning of the register of fines, the approved purpose or purposes on which the fines are to be expended shall be entered and serially numbered.

(c) When any disbursements are made from the fines realized a deduct entry of the amount so expended shall be made in the register of fines. The vouchers or receipts in respect of the amounts so expended shall be serially numbered and kept separately, the serial number of each voucher or receipt and the amount to which it relates being noted in the remarks column of the register. If more than one purpose has been approved, the entry of the disbursements shall also indicate the purpose for which it is made.

(4) In every establishment in which deductions for damage or loss are made, the employer shall maintain the register required by sub-section (2) of section 35 in form X.

(5) Where no deduction or fine has been imposed during any wage period, a nil entry shall be made across the body of the register at the end of the wage period indicating also in precise terms the wage period to which the nil relates, in the respective registers maintained in forms IX and X.

18. Deductions for breach of contract. — (1) No deduction for breach of contract shall be made from the wages of an employee who is under the age of eighteen years.

(2) No deduction for breach of contract shall be made from the wages of any employee unless —

(a) there is provision in writing, forming part of the terms of the contract of employment, requiring the employee to give notice of the termination of such employment and the period of notice does not exceed either:

(i) fifteen days or the wage-period, whichever is less;

(ii) the period of notice which the employer is required to give of the termination of that employment;

(b) this rule has been displayed in English and in Marathi or Konkani (in Roman and Devnagiri scripts) at or near the main entrance of the establishment and has been so displayed for not less than one month before the commencement of the absence in respect of which the deduction is made; and

(c) a notice has been displayed at or near the main entrance of the establishment giving the names of the persons from whose wages the deduction is proposed to be made, the number of day's wages to be deducted and the conditions, if any, on which the deduction will be remitted.

Provided that where the deduction is proposed to be made from all the employees in any departments or sections of the establishments it shall be sufficient in lieu of giving the names of the persons in such departments or sections, to specify the departments or sections affected.

(3) No deduction for breach of contract shall exceed the wages of the person employed, for the period by which the notice of termination of service given falls short of the period of such notice required by the contract of employment.

(4) If any conditions have been specified in the notice displayed under clause (c) of sub-rule (2), no deduction for breach of contract shall be made from the wages of any person who has complied with those conditions.

19. Advances. — Advances under clause (b) of section 37 shall be subject to the following conditions, namely —

(1) An advance of wages not already earned shall not, without the previous permission of the Inspector having jurisdiction, exceed an amount equivalent to the wages earned by the employees during the preceding two calendar months, or if he has not been employed for that period, twice the wages he is likely to earn during the subsequent calendar month;

(2) Any advance may be recovered in installments by deductions from wages, spread over, not more than twelve months;

(3) No instalment by which an advance is repaid shall exceed one-third, or where the wages for any wage period are not more than twenty rupees, one-fourth of the wages for any wage-period in respect of which the deduction is made;

(4) The amounts of all advances and all payments of such advances, shall be entered in a register of advances in form XI.

20. Conditions for deductions. — (1) The total amount of deductions which may be made under sub-section (2) of section 32 of the Act and the above rules in any wage period from the wages of an employee shall not exceed —

(1) in cases where such deductions wholly or partly made for payments to Co-operative Societies under clause (j) of sub-section (2), seventy five percent of such wages, and (ii) in any other case, fifty percent of such wages:

Provided that where the total deductions authorized under sub-section (2) exceed seventy five percent or as the case may be, fifty percent of the wages, the same may be recovered in such manner as may be approved by the Commissioner.

(2) No deductions shall be made from the wages of an employee even the written authorization of the employee under clause (k) of sub-section (2) of section 32 of the Act except for purposes approved in this behalf by the Commissioner.

(3) Nothing contained in this rule shall be construed as precluding the employer from recovering from the wages of the employee any amount payable by such person under any law for the time being in force.
Leave

21. Leave.— (1) Earned leave may not be refused ordinarily by the employer except for valid reasons:
Provided further no such refusal will deprive the employee the right to carry over the accumulated earned leave.

(2) Casual and sick leave.— (a) (i) Ordinarily, the previous permission of the employer for casual leave shall be obtained by the employee, but when this is not possible, the employer shall be informed in writing as soon as practicable for the grant of such leave. The employer shall record his orders on all such applications and shall retain them till the 31st March of the following year.
(ii) Ordinarily an employer, at his discretion, may not grant casual leave for more than 4 days at a stretch to an employee.
(iii) An employer however, may refuse an application for casual leave from an employee on grounds of exceptional pressure of work requiring his attendance on the day or days in respect of which casual leave has been asked for:
Provided that leave shall not be refused where it has been asked for on account of an accident, causing physical injury to the employee or death in the family or sickness of the employee, his wife or child.
(iv) Where an application for casual leave is refused by the employer under clause (ii) above, the employer shall record his reasons for refusal on the application, and shall grant equivalent leave on demand by the employee in the same calendar year.
(b) (i) No application from an employee for leave of grounds of sickness of himself or his wife or child shall be refused but if in any case the employer is not satisfied about the correctness of the assertion set out therein, the employer may either (i) require the employee to submit a medical certificate in respect thereof from a registered medical practitioner or (ii) get the employee or the wife or the child, as the case may be, examined by his (employer's) own expense by a registered medical practitioner (lady doctor in case of females) for the purpose of verifying the facts mentioned in the leave application and may grant or reject the application on the basis of the certificate of such medical practitioner.
(ii) Every such medical certificate shall be retained by the employer till 31st March of the following year.

(3) Register of leave.—Every employer shall maintain a register in form XII for the leave granted under section 23 to persons employed in his establishment.

(4) Leave book.—The employer or manager shall provide each employee with a book called "Leave Book" in form XIII. The book shall be the property of the employee and the employer or his manager shall not demand it except to make entries therein and shall not keep it for more than a week at a time.

(5) Maternity leave.—The record of maternity leave granted shall be shown in leave register in form XII and leave book in form XIII.

Termination of Employment

22. Acts and omissions constituting misconduct.—
(1) For the purposes of sub-section (4) of section 39, the following acts and omissions shall be treated as misconduct on the part of the employee:—
(a) wilful insubordination or disobedience, whether alone or, in combination with other, of any lawful and reasonable order of a superior;
(b) resorting to or inciting, abetting or instigating a strike which is illegal according to provisions of the Industrial Disputes Act, 1947 (Central Act 14 of 1947) or any other law in force;
(c) wilful slowing down in performance of work, or abetment, or instigation thereof;
(d) theft, fraud, misappropriation or dishonesty in connection with the employers' business or property;
(e) habitual absence without leave, or unauthorised absence without leave for more than fifteen consecutive days or overstaying the sanctioned leave without sufficient grounds or proper or satisfactory explanation or habitual late attendance;
(f) habitual breach of any law applicable to the establishment or any rules made thereunder;
(g) engaging in trade unauthorised by employer/manager within the premises of the establishment;
(h) commission of any act subversive of discipline or good behaviour on the premises of the establishment such as drunkenness, riotous, disorderly or indecent behaviour or taking or giving bribes or any illegal gratification, other than tips voluntarily given by customers;
(i) habitual neglect of work or gross or habitual negligence of duties;
(j) habitual breach of any rules or instructions for the maintenance and running of any department, or the maintenance of the cleanliness of any portion of the establishment;
(k) frequent repetition of any act or omission for which a fine may be imposed under the Act;
(l) canvassing for union membership or the collection of union dues within the premises of the establishment, without permission of manager or employer, except in accordance with any law, agreement, settlement or award;
(m) wilful damage to work in process or wilful negligence or action causing financial loss or damage to employer's property;
(n) holding meeting within the premises of the establishment without the consent or permission of the employer or manager;
(o) disclosing to any unauthorised person any information in regard to the manufacturing process of the establishment or trade secrets which may come into the possession of the employee in the course of his employment and which may be prejudicial to the interest of the establishment;
(p) gambling within the premises of the establishment.
(2) Every employer shall display or cause to be displayed at or near the main entrance of the establishment, a copy of the list of acts and omissions specified under sub-rule (1) in English and in Ma-
23. Procedure for terminating the services of an employee.—(1) No employer shall terminate the services of an employee under section 39 unless an enquiry is held against the employee concerned in respect of any alleged misconduct in the manner set forth in sub-rule (2).

(2) An employee against whom an enquiry has to be held shall be given a charge-sheet clearly setting forth the nature and details of misconduct alleged against him and requiring explanation. He shall be given an opportunity to answer the charge and permitted to be defended by another workman of the establishment. He shall also be permitted to produce witnesses in his defence and cross-examine any witness on whose evidence the charge rests. A concise summary of the evidence led on either side and the employee’s plea shall be recorded in a register to be maintained for this purpose.

(3) In awarding punishment under this rule, the employer shall take into account the gravity of the misconduct, the previous record, if any, of the employee and any other extenuating or aggravating circumstances that may exist. A copy of the order passed by the employer shall be supplied to the workman concerned.

24. Appeals under the section 40.—(1) The Commissioner shall be the appellate authority for the purpose of hearing appeals under sub-section (1) of section 40 and any such appeal shall be preferred by the employee in form XIV within sixty days from the date of service of the order terminating his services with the employer, such service to be deemed effective if carried out either personally or if that be not practicable by prepaid registered post to his last known address when the date of such service shall be deemed to be the date when the letter would arrive in ordinary course of post.

Provided the period of 60 days specified above is not a bar if the appeal is preferred with sufficient reasons to the entire satisfaction of the appellate authority.

(2) The Commissioner shall record briefly the evidence adduced before him and then pass orders giving his reasons therefor. The result of the appeal shall be communicated to the parties as soon as possible. Copies of the orders shall also be furnished to the parties if required by them. The copies shall be on stamped papers to be furnished by the parties. For the purposes of this rule, the fee to be levied shall be as specified in schedule C.

(3) Powers of authority appointed under section 40.—The Commissioner while hearing appeals under this rule shall have all the powers of a Civil Court under the Code of Civil Procedure, 1908 (Central Act 5 of 1908) for the purpose of taking evidence and of enforcing the attendance of witnesses and compelling the production of documents, and he shall be deemed to be a Civil Court for the purposes of section 185 and of Chapter XXXV of the Code of Criminal Procedure, 1898 (Central Act 5 of 1898).

25. Application for payment of wages or gratuity etc.—An application under section 43 or sub-section (2) of section 44 by or on behalf of an employee or dependant in case of deceased employee or group of employees, shall be made in duplicate in form XV or form XVI as the case may be, one copy of which shall be affixed with a court fee stamp of the denomination specified in schedule C.

26. Authorisation.—The authorisation to act on behalf of an employee or employees under section 43 or sub-section (2) or section 44, shall be given in form XVII by an instrument which shall be presented to the authority hearing the application and shall form part of the record.

27. Procedure for dealing with application for recovery of wages or gratuity etc.—(1) Any person desiring to act on behalf of any employee shall present to the authority appointed under section 42 of the Act a brief written statement explaining his interest in the matter and praying for permission so to act, and the authority shall record thereon an order specifying, in the case of a refusal to grant the permission prayed for, the reasons for the refusal.

(2) Applications under rule 25 or other documents relevant to such applications shall be presented in person to the authority appointed under section 42 of the Act at any time during hours to be fixed by the authority or shall be sent to the authority by registered post, and the authority shall at once endorse or cause to be endorsed on each such application or other document the date of presentation or receipt thereof, as the case may be.

(3) On receipt of an application the authority shall by issuing a notice in form XVIII call upon the applicant as well as the employer, as the case may be, to appear before him on a specified date together with all relevant documents and witnesses, if any.

(4) If the employer fails to appear on the specified date, the authority may proceed to hear and determine the application ex-parte.

(5) If the applicant fails to appear on the specified date, the authority may dismiss the application:

Provided that an order passed under sub-rule (4) or sub-rule (5) may be set aside on sufficient cause being shown by the defaulting party within 30 days of the date of the said order and the application shall then be reheard after service of notice on the opposite party of the date fixed for rehearing in the manner specified in sub-rule (3).

28. Costs.—(1) The authority appointed under sections 40 or 42 of the Act, for reasons to be recorded in writing, may direct that the costs of any proceeding pending before it shall not follow the event.

(2) The cost which may be awarded shall include:

(i) expenses incurred on account of court fees;
(ii) expenses incurred on subsistence money to witnesses;

(iii) pleader’s fees to the extent of twenty five rupees provided that the authority, in any proceedings, may reduce the fees to a sum not less than ten rupees or for reasons to be recorded in writing, increase it to a sum not exceeding fifty rupees.

(3) Where there are more than one pleader or more than one applicant or opponents the authority may, subject as aforesaid, award to the successful party or parties such costs as it may deem necessary duly observed. In particular, he shall.

(4) The authority may fix the fees on the payment of which any person entitled to do so may obtain copies of any document filed with such authority:

Provided that such authority may in consideration of the poverty of the applicant, grant copies free of cost.

29. Court fees.—The Court fee payable in respect of proceedings shall be as prescribed in schedule C.

Inspectors

30. Appointment of Inspectors. — (1) No person shall be appointed to be an Inspector under the Act, or having been so appointed, shall continue to hold office, if he has or acquires, directly or indirectly by himself or by any partner, any share or interest in any establishment to which the Act applies in the area for which he is to be or has been appointed:

Provided that nothing in this sub-rule shall apply

(i) to any person who has been permitted by the Authority competent to appoint him as Inspector to hold or acquire directly or indirectly by himself or in the name of any member of his family living with him or dependent on him, any share or interest in any registered Co-operative Bank or Co-operative Society or in any public limited company, or

(ii) to any person who acquires by inheritance any share or interest in any firm or business but who is not a working partner therein.

(2) Every Inspector shall by the end of January, each year furnish a declaration regarding his interest directly or indirectly in any establishment to which the Act applies.

(3) No Inspector shall be posted in any area where any establishment, in which he has any interest, is situated.

(4) The Inspector shall make such inspection under section 50(b) as may appear to him to be necessary for the purpose of satisfying himself that the provisions of the Act and the rules and any orders issued by the Government under the Act are duly observed. In particular, he shall satisfy himself—

(i) that the establishments are duly registered under the Act;

(ii) that the registers, records and notices required to be maintained or displayed under the Act or these rules are properly maintained or displayed;

(iii) that the intervals of rest and holidays required to be granted or observed under the Act are granted and ‘observed and that the limit of hours of work and spread-over laid down under the Act are not exceeded;

(iv) that the provisions of the Act and any orders issued by the Government regarding the opening and closing hours are duly observed;

(v) every employee in an establishment is furnished with a letter of appointment as required under sub-section (5) of section 57;

(vi) that the provisions of the Act and rules regarding leave, holidays with wages and maternity benefits are properly observed;

(vii) that the provisions of the Act and the rules relating to cleanliness, ventilation, precautions against fire and safety of employees are properly observed;

(viii) that the provisions of the Act and rules relating to the payment for overtime work are duly observed; and

(ix) that no child is allowed to work in any establishment.

(5) For carrying out such inspection, the Inspector may interrogate such persons in the premises, as he may deem necessary:

Provided that no such person shall be required under this rule, to answer any question the answer to which might tend to incriminate him.

(6) Diary.—The Inspector shall keep a file of the records of his inspections arranged suitably and shall submit to the Commissioner a diary in form XIX showing work done under the Act and these rules in the preceding month, retaining a copy of the same with him.

Registers and Records

31. Maintenance of registers and records and display of notices.—Every employer shall maintain the following registers and records and display notices under section 57 in the following manner in addition to those prescribed elsewhere under these rules:—

(1) Every employer shall maintain a register of employment in form XX or in form XXI where opening and closing hours are uniform and display notice of hours of work of employees in form XXII.

(2) A register of wages shall be maintained in every establishment and shall be kept in form XXIII which shall include the following particulars:—

(a) Rate of wages payable to an employee;

(b) Overtime wages paid to an employee;

(c) The gross wages earned by each employee for each wage period;

(d) The total of all deductions made from those wages;

(e) The wages actually paid to each employee for each wage period.
(f) Signature or thumb impression of an employee.

(3) (a) Intimation regarding close day.—Every employer of a shop (or an establishment intending to close/observe weekly holiday) shall notify to the Inspector regarding the choice of close day or change thereof in form XXIV and display the notice in form XXV after obtaining signature of the Inspector. This notice shall be displayed in a prominent place in every premises of the shop/establishment.

(b) Every employer of an establishment other than a shop shall exhibit in his establishment a notice in form XXVI specifying the day or days of the week on which the employees shall be given a holiday. The notice shall be exhibited before the persons to whom it relates cease work on the Saturday immediately preceding the first week during which it is to have effect.

(4) Every employer shall exhibit in his establishment a notice containing such extracts of the Act and rules in English and in Marathi or Konkani (in both Roman and Devnagiri scripts) as notified in this behalf by Government in the Gazette.

(5) Any notice required to be exhibited under these rules shall be exhibited in such manner that it can be readily seen and read by any person whom it affects and shall be renewed whenever it becomes defaced or otherwise cease to be clearly legible.

(6) Every employer of a residential hotel or restaurant or eating house or theatre or any place of public amusement or entertainment shall maintain a register in form XXVII showing the compensatory holidays allowed to employees deprived of notified holidays as per sub-section (1) of section 24.

(7) Every employer of an establishment allowing more number of holidays than notified by Government under sub-section (1) of section 24 shall send a list of holidays to the Inspector of the area and shall also display such list in form XXVIII.

(8) In any register or record which an employer is required to maintain under these rules, the entries relating to any day, shall be made on the same day and shall be authenticated under the signature of the employer or the manager on the same day. The entries relating to overtime work shall be made before the commencement and immediately after completion of such overtime work.

(9) The registers, records and notices relating to any calendar year shall be preserved for a period of three years after the last entry is made therein.

(10) Save as otherwise provided in sub-rule (4), all registers, records and notices required to be maintained, exhibited, shall be either in English or in Marathi or Konkani (in both Roman and Devnagiri scripts).

(11) Every register required to be maintained under these rules shall be duly bound and its pages duly numbered.

(12) (a) Every employer shall maintain a visit book in which an Inspector visiting the establishment may record his remarks regarding any defects that may come to light at the time of his visit or give directions regarding production of any documents required to be maintained or produced under the provisions of the Act and the rules.

(b) The visit book shall be a bound book more or less of size 21 x 18 cms. containing at least 100 pages.

(c) The covering page of the visit book shall contain the following particulars:—

(i) Name of the employer.

(ii) Name of the shop or establishment.

(iii) Address.

(iv) Registration number.

(v) Residential addresses of the employer(s) and manager(s).

(d) In case the visit book containing remarks passed by the Inspector is lost, destroyed or deceased the employer of the establishment shall report the fact forthwith in writing to the Inspector of the area and immediately arrange to maintain a new visit book.

(e) The visit book shall be in the custody of the employer or any person duly authorised by him and shall be kept always in the business premises of the establishment and shall be produced on demand by the Inspector. For the purpose of this sub-rule, employer of any establishment includes an owner of an establishment without employees.

(13) Where an office, store-room, godown, warehouse or work-place used in connection with the trade and business of a shop is situated at premises other than the premises of the shop, all registers, records, visit book and notices required to be maintained, exhibited or given under the Act and the rules shall be separately so maintained, exhibited or given in respect of and at such office, store-room, godown, warehouse or work-place.

(14) No employer with intent to deceive shall make or cause or allow to be made, in any register, record or notice prescribed to be maintained under the provisions of the Act or the rules, an entry which is false in any material particular, or wilfully omits or causes or allows to be omitted, from any such registers, record or notice, an entry which is required to be made therein, under the provisions of the Act and rules, or shall maintain or cause or allow to be maintained, more than one set of any register, record or notice.

32. Admissibility of forms other than those prescribed in the rules.—If, on an application made by an employer or manager in writing, the Commissioner is satisfied that any muster roll, register or record maintained by the employer or manager gives in respect of all or any of the employees in his establishments the particulars required to be shown in any register, record or notice prescribed under these rules, the Commissioner may by order in writing direct that such muster roll, register or record shall to the corresponding extent be maintained in place
of such register, record or notice, prescribed under this rule as the case may be.

33. Period for supplying information required by Inspector. — Any information or document required by the Inspector for carrying out the purposes of the Act and the rules shall be furnished to him by the employer of an establishment within one week from the date of receipt of such requisition by the employer.

34. Periodical returns. — Every employer having five or more employees shall send a return in form XXX for month ending 31st March, 30th June, 30th September and 31st December of every year so as to reach the Inspector within whose jurisdiction the establishment is situated not later than 10th of the following month to which the return relates, endorsing a copy thereof to the Commissioner.

35. Letter of appointment. — Every employer shall furnish to all employees with letters of appointment in form XXX under sub-section (5) of section 57 and obtain acknowledgement in token of having served the said letter.

36. Authority to decide certain questions. — (1) The Commissioner shall be the authority for purposes of deciding matters specified in sub-section (7) of section 3 and section 62 of the Act. Before granting any decision, he shall hear the concerned parties or give them an opportunity of being heard.

(2) For the purposes of section 43(1) the prescribed authority shall be the Commissioner.

37. Penalty. — Any person who contravenes any of the provisions of the rules shall, on conviction, be punishable with fine which may extend to fifty rupees.

By order and in the name of the Administrator of Goa, Daman and Diu.

P. Noronha, Under Secretary, Industries and Labour.


SCHEDULE A

The statement in Form I shall be sent to the Inspector together with the fees prescribed in this schedule:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Categories of Establishments</th>
<th>Reg. fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Shop/Establishment employing no persons</td>
<td>Rs. 5/-</td>
</tr>
<tr>
<td>2.</td>
<td>Shop/Establishment employing 5 or less than five persons</td>
<td>Rs. 10/-</td>
</tr>
<tr>
<td>3.</td>
<td>Shop/Establishment employing more than 5 but less than 10 persons</td>
<td>Rs. 20/-</td>
</tr>
<tr>
<td>4.</td>
<td>Shop/Establishment employing 10 and above but less than 20 persons</td>
<td>Rs. 40/-</td>
</tr>
<tr>
<td>5.</td>
<td>Hotels, Restaurants, Residential Hotels, Theatres or other places of Public amusement or entertainment or Shop/Establishment employing 20 or more persons</td>
<td>Rs. 50/-</td>
</tr>
</tbody>
</table>

SCHEDULE B

(See Rule 8)

The notice of change in Form VI shall be sent to the Inspector together with fees prescribed as below:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Categories of Establishments</th>
<th>Fee for any change</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Shop/Establishment employing 20 or more persons</td>
<td>Rs. 2/-</td>
</tr>
<tr>
<td>2.</td>
<td>Other shops/Establishments</td>
<td>Rs. 1/-</td>
</tr>
</tbody>
</table>

SCHEDULE C

The amount of fees for the different types of proceedings shall be as set out below:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Description of proceedings</th>
<th>Amount of fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Application for (i) preferring an appeal under Section 40 and rule 23</td>
<td>Re. 1/- (One rupee)</td>
</tr>
<tr>
<td></td>
<td>(ii) preferring second appeal to Labour Court</td>
<td>Re. 2/- (Two rupees)</td>
</tr>
<tr>
<td>2.</td>
<td>Application for payment of wages, gratuity, etc. under section 43/44</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(i) Individual application</td>
<td>Re. 1/- (One rupee)</td>
</tr>
<tr>
<td></td>
<td>(ii) Group application</td>
<td>Re. 5/- (Five rupees)</td>
</tr>
<tr>
<td>3.</td>
<td>Court fee on instrument showing authorisation</td>
<td>Re. 1/- (One rupee)</td>
</tr>
<tr>
<td>4.</td>
<td>Fees for copies of documents</td>
<td>Same fees as levied by Civil Courts from time to time</td>
</tr>
<tr>
<td>5.</td>
<td>Application to summon witnesses — (i) For the first witness mentioned in the application</td>
<td>Re. 0-50 (fifty-paise)</td>
</tr>
<tr>
<td></td>
<td>(ii) For every subsequent witness</td>
<td>Re. 0-25 (Twenty five paise)</td>
</tr>
<tr>
<td>6.</td>
<td>Application for recovery of amounts due</td>
<td>Re. 1/- (One rupee)</td>
</tr>
</tbody>
</table>

FORM I

(See rule 3)

Statement under Section 3(1)

1. Name of Shop/Establishment, if any:
2. Door No. and Name of the Street and exact location of the Shop/Establishment and postal Address.
3. Exact location of office, store-room, godown, warehouse, or work place, if any, attached to shop but situated in premises different from those of shop/Establishment.
4. Full name of the employer, including his father's name.
5. Residential address of the employer.
6. Full name of Manager, if any, including his father's name, and his residential address.
7. Names of the partners, if any, and their residential addresses (if a partnership concern).
8. Category of establishment, i.e. whether a shop, commercial establishment, residential hotel, restaurant, eating house, theatre, cinema or other place of public amusement or entertainment etc.
10. Date of commencement of business.
11. Names of members of employer's family engaged in the shop/establishment.

<table>
<thead>
<tr>
<th>Relationship</th>
<th>Adults</th>
<th>Young persons</th>
</tr>
</thead>
<tbody>
<tr>
<td>Males</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Females</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12. Names of other employees:
(i) in a managerial capacity.
(ii) as sweeper, caretaker and travelling staff.
(iii) as persons employed for loading and unloading of goods at godown.

13. Total number of employees:

<table>
<thead>
<tr>
<th></th>
<th>Adults</th>
<th>Young persons</th>
</tr>
</thead>
<tbody>
<tr>
<td>Males</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Females</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

14. Details of remittances: (Enclose challan obtained from treasury/State Bank).

<table>
<thead>
<tr>
<th>Name of the Treasury</th>
<th>Challan No. and date</th>
<th>Amount of fee paid</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

I hereby declare that the above information is true to the best of my knowledge and belief.

Signature of employer.

Note:
1. This statement shall be sent to the Inspector concerned with such fees as prescribed in Schedule A.
2. Item 3 should be filled only when the office, store room, etc., are not separately registered under the Act. In respect of such store rooms, etc., not separately registered, particulars required under item 11, 12, 13 should be given separately for each office, store room, etc.
3. If any item is not applicable enter «Not Applicable».

FORM II
(See Rule 5)
Register of Establishments

Part I — Shops
Part II — Commercial Establishments.
Part III — Residential Hotels, Restaurants, eating house, lodging houses and cafes.
Part IV — Theatres, Cinemas and other places of public entertainments or amusements.

<table>
<thead>
<tr>
<th>Social Number</th>
<th>Registration Certificate No.</th>
<th>Name of Establishment</th>
<th>Name of the employer with residential address</th>
<th>Name of partners and their residential address</th>
<th>Postal address and exact location of the establishment</th>
<th>Date of commencement of business</th>
<th>Date of registration</th>
<th>Total number of employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td>8</td>
<td>9</td>
</tr>
</tbody>
</table>

FORM III
(See Rule 5)
Registration Certificate of Establishment

1. Registration Number —
2. Name of the Establishment —
3. Postal address of Establishment —
4. Name of the employer —
5. Nature of Business —

It is hereby certified that ... has been registered as ... this day ... of 197 ...

Signature of Inspector

Seal.
FORM IV
(See Rule 6)
Renewal of Registration Certificate
Category of Establishment ...
Total No. of Employees ...
Name of Establishment and Postal Address ...

To,
The Inspector,

Sir,

As the period of Registration Certificate No. ... originally granted/subsequently renewed to us is to expire/has already expired on ..., I (We) have to request for its renewal. The original certificate is enclosed.

Signature of Employer

Date: ...
Place: ...

FORM V
(See Rule 7)
Notice of Loss of Registration Certificate and Application for Issue of Duplicate Certificate
Name of the Establishment: — ...
Address: — ...
Registration No.: — ...

To,
The Inspector,

Sir,

This is to inform you that the registration certificate of this establishment has been lost/destroyed/defaced due to ... (here specify the reasons/circumstances). Please issue a duplicate certificate.

Challan No. ... Date ... For Rs. ... is enclosed herewith.

Date: ...

Yours faithfully,

(Signature of Employer)

FORM VI
(See Rule 8)
Notice of Change
Name of the Establishment already registered: ...
Name of the Employer: ...
Registration Certificate Number: ...
Address: ...
Dated the ... day of ... 197 ...

To,
The Inspector ...

(Under Goa, Daman and Diu Shops and Establishments Act, 1973)

Sir,

Notice is hereby given that the following change has taken place in respect of information forwarded to you in Form I which please note. The registration certificate and challan No. ... dated ... for Rs. ... is enclosed.

Signature of the Employer.

Note: — The Notice of change in this form shall be sent together with such fees as are prescribed in Schedule II.

FORM VII
(See Rule 10)
Certificate of Age
I hereby certify that I have personally examined (name) ... son/daughter of ... residing at ... and that he has completed his/her twelfth/eighteenth year of age.

Identification/Description marks are:

(1)
(2)

Signature or thumb impression of employee.

Medical Practitioner.

Registration Number.

FORM VIII
[See Rule 11(1)(c)]
Record of Limewashing etc.

<table>
<thead>
<tr>
<th>Part of Establishment e.g. name of room</th>
<th>Parts lime/colour washed, painted or varnished e.g. walls, woodwork, ceilings, etc.</th>
<th>Treatment (whether lime/colour washed, painted or varnished)</th>
<th>Date on which lime/colour washing, painting or varnishing was carried out (according to English Calendar)</th>
<th>Signature of Employer</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Day</td>
<td>Month</td>
<td>Year</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>4</td>
<td>5</td>
<td>6</td>
</tr>
</tbody>
</table>
## FORM IX
[See Rule 17(3)(a)]

Register of Fines

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the Employees</th>
<th>Father's Name or Husband's Name</th>
<th>Act or omission for which fine imposed</th>
<th>Whether workman showed cause against fine or not and if so, date on which cause was shown</th>
<th>Total Wages for the wage period in which fine imposed</th>
<th>Amount of fine</th>
<th>Date on which fine imposed</th>
<th>Date on which fine realised</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td>8</td>
<td>9</td>
<td>10</td>
</tr>
</tbody>
</table>

## FORM X
[See Rule 17(4)]

Register of deductions for damage or loss caused to the employer by the neglect or default of employees

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of Employees</th>
<th>Father's or husband's name</th>
<th>Damage or loss caused</th>
<th>Whether worker showed cause against deduction or not and if so, date on which cause was shown</th>
<th>Amount of deduction imposed</th>
<th>Date on which deduction imposed</th>
<th>Number of instalments, if any</th>
<th>Date on which total amount realised</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td>8</td>
<td>9</td>
<td>10</td>
</tr>
</tbody>
</table>

## FORM XI
[See Rule 19(4)]

Register of advances to the employees

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the Employee</th>
<th>Father's or Husband's name</th>
<th>Amount of advance given</th>
<th>Date on which advance was given</th>
<th>Purpose(s) for which advance was given</th>
<th>No. of instalments by which advance has to be recovered</th>
<th>Postpone-ments granted</th>
<th>Date on which total amount is recovered</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td>8</td>
<td>9</td>
<td>10</td>
</tr>
</tbody>
</table>
FORM XII
[See Rule 21(3)]
Register of Leave

Name and address of the establishment:
Name of employer:
Registration No.
Name of employee.
Father's name.
Date of appointment.

<table>
<thead>
<tr>
<th>Earned Leave with Wages</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Application</td>
<td>Applied</td>
<td>No. of days of leave to which he is entitled</td>
<td>Leave granted</td>
<td>Balance</td>
<td>If refused in part or full</td>
</tr>
<tr>
<td>Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sick Leave</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Application</td>
<td>Applied</td>
<td>Leave granted</td>
<td>Balance due</td>
<td>If refused in part or full</td>
<td>Signature</td>
</tr>
<tr>
<td>Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Casual Leave</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Application</td>
<td>Applied</td>
<td>Leave granted</td>
<td>Balance due</td>
<td>If refused in Part or full</td>
<td>Signature</td>
</tr>
<tr>
<td>Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
<td>To Date</td>
<td>From Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Maternity Leave | | | | | |
|----------------|---|---|---|---|
| Date of Application | Applied | Leave granted | Signature |
| Date | From (date) | To (date) | From (date) | To (date) | Employee | Employer |
| | | | | | | | | | | |
FORM XIII

Prescribed under rule 21(4)

(Shall be the same as register of leave with wages (form XIII) but shall be made out separately for each worker on a thick bound sheet or in the form of bound note book).

FORM XIV

[See Rule 24(1)]

Form of Appeal under section 40(1)(b) arising out of termination of services

Before the Commissioner ...

Appeal No ... 197

Shri ...

Appellant

(Worker)

V/s

S/Shri ...

Respondent

(Manager)

The appellant states as follows:—

1. I, ..., son/wife of ..., was employed in the service of S/Shri ..., Employer in his establishment known as ..., from (date) ...

2. The address of appellant for service of all notices is as follows: ...

3. The address of respondent (Employer) for service of all notices is as follows: ...

4. The respondent (Employer) has without a reasonable cause and for no misconduct or fault of the appellant terminated the services of the appellant with effect from ... or served a notice dated ... to terminate the services with effect from ...

5. The respondent has terminated services of the appellant/ transferred establishment to new Employer, S/Shri ..., without giving (1) one month's notice in writing or wages in lieu thereof and (ii) gratuity amounting to Rs. ..., (Rupees ...) as the appellant has put in a service of ... years and ... months (From date ... to date ...). Hence, appellant is entitled to receive in addition wages for 2 months amounting to Rs. ..., (Rupees ...) in terms of sub-section (3) of section 39.

6. The services of appellant have been terminated wrongfully as he has not committed any acts or omissions prescribed in rule 22/ as the Employer has not followed the procedure laid down in rule 23/ as the punishment imposed is disproportionate to the misconduct, if any, committed, etc., etc., (attach detailed grounds with copies of documents, if any).

7. The appellant has retired/resigned for reasons stated below on date: ... But gratuity is amounting to Rs. ..., (Rupees ...) based on service of ... years ... months (from date ... to date ...). Hence, appellant is entitled to receive in addition wages for 2 months amounting to Rs. ..., (Rupees ...) in terms of sub-section (3) of section 39.

8. The wages of appellant is estimated to be Rs. ..., (Rupees ...) per month.

9. Hence, the appellant prays that a direction may be issued to the respondent (Employer) under sub-section (2) of section 40 for:

(a) reinstatement of appellant in services of Employer.
(b) payment of full wages from the date of termination till the date of reinstatement.
(c) payment wages in lieu of notice amounting to Rs. ...
(d) payment of gratuity amounting to Rs. ...
(e) payment of additional wages amounting to Rs. ...
(f) payment of compensation amounting to Rs. ...
(g) payment of costs as follows:
   (i) Court fees amounting to Rs. ...
   (ii) witness expenditure Rs. ...
   (iii) Pleader's fees Rs. ...
(h) Any other relief as the authority deems fit.

The total relief claimed above amounts to Rs. ... (Rs. ...).

The appellant hereby certifies that the statement of facts contained in this plaint is true to the best of his knowledge and belief.

The appellant craves leave to add to or amend this plaint.

Date: ...

Signature or thumb impression of Appellant (Employee)

FORM XV

[See Rule 25]

Application for payment of wages etc.

In the Court of the Authority appointed under section 42 of the Goa, Daman and Diu Shops and Establishments Act, 1973.

Application No. ... of ... 197

Between A. B. C. ... Applicant (through Shri ... a legal practitioner and official of ... which is a registered trade union).

And

X. Y. Z. ... Opposite Party

The applicant(s) state(s) as follows:

1. A. B. C. is a (are) persons employed in ... establishment entitled ... and reside(s) at ...

The address of the applicant(s) for the services of all notices and processes is:

2. X. Y. Z. ... the opposite party is the person responsible for the payment of his (their) wages under the Act, and his (their) address for the service of all notices and process is:

3. (a) The applicant's wages have not been paid for the following wage period(s) (give date) ... or a sum of Rs. ..., has been unlawfully deducted from his wages of ... (amount) for the wage period(s) which ended on (Give date/dates) ...

   (b) (here give any further claim or explanation).

4. The applicant(s) estimate(s) the value of the relief sought by him (them) at the sum of rupees ...

5. The applicant(s) pray(s) that a direction may be issued under said Act for:

   (a) payment of his (their) delayed wages or gratuity as estimated or such greater or lesser amount as authority may find to be due or refund of the amount illegally deducted.

   (b) Compensation amounting to ...

   (c) Costs amounting to:—

The applicant(s) certify(ies) that the statement of facts contained in his application is true to the best of his knowledge and belief.

Signature or thumb impression of the employee(s) or legal Practitioner or Officer of a registered trade union duly authorised.

Note: — When the application is by a group of employees the thumb impressions or signatures of two of the applicants need be put to the application and a full list of applicants should be attached.
FORM XVI
(See Rule 25)

Form of application by an inspector or person permitted by the authority or authorised to act

In the Court of the Authority appointed under Section 42 of the Goa, Daman and Diu Shops and Establishments Act, 1973.

Application No. ... of 197...

Between
A. B. C. (Designation) ... An Inspector under the (Shops and Establishment Act, 1973 or a person permitted by the Authority/authorised to act under section 43) — Applicant.

And

X. Y. Z...

The opposite party

The applicant states as follows:

1. X. Y. Z. the opposite party, is the person responsible under the Act for the payment of ... of wages to the following person(s).

(1)
(2)
(3)
(4)
(5)

2. His address for the service of all notices and processes is:

3. The wages of the said person(s) due in respect of the following wages period(s) have not been paid/have been subjected to the following illegal deductions:

4. The applicant estimates the value of the relief sought for the employee(s) at the sum of Rs. ...

5. The applicant prays that a direction may be issued under the said Act for:

6. Payment of the delayed wages as estimated or such greater or lesser amount as the Authority may find to be due.

(a) refund of the amount illegally deducted
(b) Compensation amounting to:
(c) Cost amounting to:

The applicant certifies that the statement of facts contained in this application is true to the best of his knowledge and belief.

Date: 
Signature

FORM XVII
(See Rule 26)

Letter of authorisation

In the Court of the Authority appointed under Section 42 of the Goa, Daman and Diu Shops and Establishments Act, 1973.

Application No. ... of 197...

Versus

1. 
2. 
3. 

I/we hereby authorise Shri ... a legal practitioner, an official of the registered Trade Union of ... to appear and act on my/our behalf in the above described proceedings and to do all things incidental to such appearing and acting.

Signature or thumb impression of employees

Witnesses: (1)
(2)

I accept the authorisation

Signature:

Address:

FORM XVIII
(See sub-rule (3) of rule 27)

Notice for the disposal of application

To

Whereas under Goa, Daman and Diu Shops and Establishments Act, 1973, a claim has been presented to me/by/against you by an application (copy enclosed) you are hereby called upon to appear before me either personally or through a duly authorised person in this behalf, for the purpose of answering all material questions relating to the application on the ... day of ... 19 ... , at ... O'clock in the fore/aftersoon in support of/to answer the claim and as the day fixed for your appearance is appointed for final disposal of the application, you must be prepared to produce on what day all the witnesses upon, whose evidence, and the documents upon which you intend to rely in support of your claim/defence.

Take notice that in default of your appearance on the ... day before mentioned, the application will be dismissed/heard and determined in your absence/ex-parte.

Given under hand and seal, this ... day of ... 19...

Signature of Authority

Seal

FORM XIX
(See Rule 30(6))

Monthly Diary of Inspector for the month ending ... 197...

<table>
<thead>
<tr>
<th>Date</th>
<th>Place</th>
<th>Establishments Inspected</th>
<th>Purpose of Inspection</th>
<th>Remarks of defects found and directions and orders issued</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
</tbody>
</table>

Submitted to Commissioner.
Labour and Employment, Panaji.

FORM XX
(See Rule 31(1))

Register of Employment

1. Year and Month:
2. Name and Address of the Establishment:
3. Name and Address of the Employer:
4. Registration Number of the Establishment:
5. Name of Employee:
### FORM XXI

[See Rule 31(1)]

**Register of Employment**

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of employee</th>
<th>Father’s/Husband’s name</th>
<th>Age</th>
<th>Nature of work or designation</th>
<th>Date of Appointment</th>
<th>Hours worked during wage period ending</th>
<th>Total hours worked</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1 2 3 4 5 6 7 8 9 10 11 12 13 14 15</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Mark 'H' shall be made on any day on which holiday is given.

### FORM XXII

[See Rule 31(1)]

**Notice of hours of work commencing ...**

**Establishment:**

**Name of Employer and Establishment:**

**Description of Department:**

<table>
<thead>
<tr>
<th>Name of persons employed</th>
<th>Whether young persons or not</th>
<th>Employment to commence</th>
<th>Intervals for meals &amp; rest</th>
<th>Employment to cease</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:**

1. The hours above shall be exclusive of overtime.
2. Actual times to be noted in col. 4. (a) **Not** included in daily wage.
   (b) **Included** in daily wage.
FORM XXIII
[See Rule 31(2)].

Register of wages

Name of Establishment...  Registration No...

Name of employer and address...

Wage Period...

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the Employer</th>
<th>Registration No.</th>
<th>Name of Employer and address</th>
<th>Wage Period</th>
<th>Minimum rate of wages payable</th>
<th>Wages Payable</th>
<th>Deductions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

FORM XXIV
[See Rule 31(3)(a)].

Notice of close day or a change in close day

Name of Shop/Establishment and address: ... Registration No...

Notice is hereby given that with effect from (date)... the Shop/Establishment shall observe... day as close day every week. It shall be the weekly holiday for all Employees.

Signature of employer

To


FORM XXV
[See Rule 31(3)(a)].

Notice of Shop/Establishment

Close Day

Name and address of Shop/Establishment: ...

This is to notify that our Shop/Establishment shall remain closed in every week on

DAY

Approved

Inspector

Signature of employer

FORM XXVII
[See Rule 31(3)(b)].

Notice of weekly holiday

Name and address of Establishment ... Registration Number: ...

The person(s) employed in this Establishment shall be given a holiday on the day specified below in the week

FORM XXVI
[See Rule 31(3)].

Register for compensatory holidays

Date:...

Signature of employer

FORM XXVIII
[See Rule 31(3)].

Register for compensatory holidays

Name of the Establishment:...

Registration No...

Address:...
FORM XXVIII
[See sub-rule (7) of rule 31]

List of holidays with wages for the year ending ...

Name of the Establishment: Registration No...
Address:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the holiday</th>
<th>Date</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Signature of the employer

To
The Inspector
Goa, Daman and Diu,
Shops and Establishments

FORM XXIX
(See Rule 34)

<table>
<thead>
<tr>
<th>Return for the month of March/June/September/December</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Name and address of the Establishment.</td>
</tr>
<tr>
<td>2. Name and address of the Employer.</td>
</tr>
<tr>
<td>3. Category of the establishment ***</td>
</tr>
<tr>
<td>4. Number of days worked during the month.</td>
</tr>
<tr>
<td>5. Normal working hours.</td>
</tr>
<tr>
<td>6. Rest intervals: Hours.</td>
</tr>
<tr>
<td>7. No. of employees and their earnings.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No. of employees and their earnings</th>
<th>No. unaided helpers</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of employees and their earnings</td>
<td>No. unaided helpers</td>
</tr>
<tr>
<td>No. of employees and their earnings</td>
<td>No. unaided helpers</td>
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<td>No. unaided helpers</td>
</tr>
<tr>
<td>No. of employees and their earnings</td>
<td>No. unaided helpers</td>
</tr>
</tbody>
</table>

*** Whether the establishments is a (i) Shop, (ii) Commercial Establishment, (iii) Restaurant, Eating House or Hotel, (iv) Theatre or a place of public entertainment or (v) other type should be mentioned here.

C. C. to Commissioner.

FORM XXX
(See Rule 35)

<table>
<thead>
<tr>
<th>Letter of Appointment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and address of the establishment ...</td>
</tr>
<tr>
<td>Name and address of the employer ...</td>
</tr>
<tr>
<td>Registration Number ...</td>
</tr>
</tbody>
</table>

1. Shri/Shrimati/Kumari ... son/daughter of ... age (date of birth) ... is appointed as * in this establishment, with effect from ... in the scale of pay Rs. ...

2. He/She will draw a total of Rs. ... per day /week/month composed of the following, namely:—

i. Basic pay of

ii. Dearness Allowance

iii. Other allowances

3. The other terms and conditions of service will be as under:—

1) ...
2) ...
3) ...
4) ...
5) ...
6) ...
7) ...
8) ...

Signature of employer

To
Shri/Smt./Kumari 

Note: Strike out the words which are not applicable.

* Describe nature of appointment, designation, etc.

| Enter full address of the employee. |

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